THE AMERICAN ASSOCIATION OF NEUROPATHOLOGISTS INC.

WHISTLEBLOWER REPORT FORM

If you wish to submit a Whistleblower Report, please complete this form and mail to the following address:

The American Association of Neuropathologists Inc.
Attention: Annika Borvansky, Executive Director
5575 S. Sycamore Street, Suite 235
Littleton, CO 80120

Name: __________________________________________________________

E-mail address: ______________________________________________________

Telephone Number(s): ________________________________________________

Note: If provided, the identity of the person filing this report shall not be disclosed without his or her written permission unless the disclosure is (1) to The American Association of Neuropathologists Inc. (the “Association”) personnel and advisors with a legitimate need to know in order to carry out an investigation; (2) to a law enforcement agency which is conducting a criminal investigation; or (3) pursuant to a subpoena or other circumstances where the Association is required by law to release information. The testimony of a person filing a Whistleblower Report may be needed in order to prove a case against the person accused of wrongdoing.

What is your association with the Association? ____________________________
________________________________________________________________________
________________________________________________________________________

Please provide a description of the incident(s) with as much detail as possible in the following questions.

What is the nature of the wrongdoing (e.g., fraud, theft, conflict of interest, violation of law)? __________________________________________
________________________________________________________________________
________________________________________________________________________

When did the incident occur (provide exact dates/times where possible)? ________
________________________________________________________________________
________________________________________________________________________

1 Whistleblower reports may be made anonymously. Anonymous whistleblowers must provide sufficient corroborating evidence to justify the commencement of an investigation. Because of the inability of investigators to interview anonymous whistleblowers, it may be more difficult to evaluate the allegations and, therefore, less likely to cause an investigation to be initiated.
How did the subjects of the complaint carry out the activity?  

______________________________  

______________________________  

______________________________  

Name(s) of organizations/individual staff involved in the activity:  

______________________________  

______________________________  

______________________________  

Where did the incident occur (provides specific location where possible)?  

______________________________  

______________________________  

______________________________  

Is it ongoing? How frequently has it occurred?  

______________________________  

______________________________  

______________________________  

How did you become aware of the alleged improper activity? Can anyone else corroborate the improper activities being reported and how can they be contacted?  

______________________________  

______________________________  

______________________________  

Please list any documentation you are aware of which supports your allegation\(^2\):  

______________________________  

______________________________  

______________________________  

Do the subjects of the complaint know about this report?  

______________________________  

______________________________  

______________________________  

Please provide any additional details of the incident that would be useful to investigators:  

______________________________  

______________________________  

______________________________  

Protection from Retaliation: The Association is committed to protecting staff and others from: 1) interference with making a protected disclosure or 2) retaliation for making a protected disclosure or refusing to obey an illegal order. If you believe you have been  

______________________________  

\(^2\) Be mindful you are not requested to investigate the matter. In providing information, the whistleblower is not to conduct any investigative procedures or obtain evidence for which he or she does not have a right of access. Doing so may constitute a violation of law or Association policy.
retaliated against for whistleblowing, you may file a complaint on this Whistleblower Report Form.

Thank you for bringing this matter to the attention of the Association. The Association takes these reports seriously.